

RECEIVED
CENTRAL FAX CENTER
OCT 06 2006

FACSIMILE COVER SHEET

OTIS ELEVATOR COMPANY
OTIS INTELLECTUAL PROPERTY DEPARTMENT
FARMINGTON, CONNECTICUT

OUR FAX NUMBER IS: (860) 998-3886

IF ALL PAGES ARE NOT RECEIVED, CALL THE FOLLOWING NUMBER IMMEDIATELY:
TELEPHONE: (860) 676-5761

ADDRESSEE: USPTO/Group 3651

FAX: 571-273-8300

SENDER: Ana Rivera

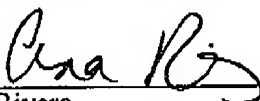
TOTAL NUMBER OF PAGES INCLUDING LEAD SHEET: 22

DATE: October 6, 2006

RE: US Patent Application 10/527,729
SAFETY MONITORING FOR A PEOPLE MOVER (Stripling et al.)
Our File: OT-5075

I hereby certify that the following document (attached) is being facsimile transmitted to the U.S. Patent and Trademark Office at (571) 273-8300 on October 6, 2006, for filing in the above-referenced application:

- Information Disclosure Statement with PTO/SB/08A and cited references (20 pages); and
- Credit Card Form PTO-2038 (1 page).



Ana Rivera

-NOTICE-

The information contained in this facsimile is confidential information of The Otis Elevator Company and/or its legal counsel and is intended only for the use of the named addressee. If you are not the named addressee or his or her employee/agent, please be advised that disclosure, copying, distribution and/or use of this facsimile or its contents is prohibited. If you are not the named addressee, please immediately telephone the above identified sender collect at the telephone number specified above and Otis will arrange to retrieve the documents at no expense to you. Thank you for your cooperation.

RECEIVED
CENTRAL FAX CENTER
OCT 06 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Date: October 6, 2006
Ralph Stripling et al. : Examiner: Leslie A. Nicholson III
Appln. No.: 10/527,729 : Art Unit: 3651
Filing Date: March 14, 2005 : Docket No.: OT-5075
Title: SAFETY MONITORING FOR A PEOPLE MOVER

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is an Information Disclosure Statement, Form PTO-1449, submitted in compliance with:

- ☐ 37 C.F.R. 1.97(b) - This information disclosure statement is filed within three months of the filing date of a national application other than a continued prosecution under §1.53(d), within three months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing date of a first Office Action on the merits or before mailing of a first office action after the filing of a request for continued examination under §1.114. No statement or fees are required.
- ☒ 37 C.F.R. 1.97(c) - This information disclosure statement is filed after the period specified in §1.97(b) and before the mailing date of either a final action under 37 C.F.R. 1.113, a notice of allowance under 37 C.F.R. 1.311 or an other Office Action that otherwise closes prosecution in the application, and is accompanied by either Box (1) or (2), under STATEMENT AND FEE (marked accordingly).
- ☐ 37 C.F.R. 1.97(d) - This information disclosure statement is filed after the time period specified in §1.97(c) and on or before payment of the issue fee and is accompanied by both Box (1) and (2) under STATEMENT AND FEE (marked accordingly).

10/10/2006 MBINAS 00000010 10527729

01 FC:1806

180.00 OP

RECEIVED
CENTRAL FAX CENTER

OCT 06 2006

STATEMENT AND FEE

(1)[] 37 C.F.R. 1.97(e) Statement - that each item of information contained in the information disclosure statement was cited for the first time in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. (A copy of the communication is enclosed.)


[] That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the information disclosure statement.

(2)[X] \$180.00 fee in accordance with 37 C.F.R. 1.17(p) is due. [Credit Card Payment Form Attached.]

Respectfully submitted,

Date: October 6, 2006

By


Lisa A. Bongiovi
Registration No. 48,933

OTIS ELEVATOR COMPANY
10 Farm Springs Road
Farmington, CT 06032
Telephone (860) 676-5743
Facsimile (860) 998-3886

PTC/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

RECEIVED

CENTRAL FAX CENTER

OCT 06 2006

Sheet	1	of	1
-------	---	----	---

Application Number	10/527,729
Filing Date	March 14, 2005
First Named Inventor	Ralph Stripling
Art Unit	3651
Examiner Name	Unknown
Attorney Docket Number	OT-5075

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ^o
		Country Code ³ *Number*Kind Code ⁵ (if known)	MM-DD-YYYY			
		EP 0 599 452 A1	06-01-1994	Otis Elevator Co.		
		JP 2001002358	01-09-2001	Mitsubishi Electric Bldg		

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.